

SAHARA Mutual Fund		Transmission Documents Matrix-Ready Reckoner			Date : 01 st April, 2015.	
Sr. No.	Documents Required for Transmission	Transmission to Surviving Joint Holders	Demise of Sole/All unit holders & Nominee/s registered.	Demise of Sole/ All unit holders & Nominee/s Not registered.	Demise of Karta of an HUF.	
Basic Documents						
1	Letter from the Nominee/s or the Claimant/s or new Karta addressed to the AMC/ Fund/ Registrar.	✓	✓	✓	✓	
2	Death Certificate of deceased Unit Holder/s/ Karta in original or Photocopy duly notarized or attested by Gazette Officer/ Bank manager	✓	✓	✓	✓	
3	KYC of Nominee/s or Claimant/s Surviving Unit holders or HUF & New Karta. <u>Or</u> KYC of the Guardian (in case of nominee/ claimant being a minor/of unsound mind).	✓	✓	✓	✓	
4	New Bank Mandate details-duly attested by Bank manager- (Annexure) or Cheque copy with account number and holders name printed on the cheque.	✓	✓	✓	✓	
Additional Legal Documents: (Indemnity Bonds Individual Affidavit to be on minimum Rs.20/- stamp paper or more and duly notarized. KYC address should match with the address mentioned in the indemnity Bond)						
1A*	Indemnity bond signed by all legal heir/s confirming the claimant/s (Annexure)			✓		
1B	Individual Affidavit by the legal Heir/s (Annexure)			✓		
2	Transmission value Upto Rs. 2,00,000/- Document evidencing relationship of the claimant/s with the deceased unitholder/s. Transmission value Above Rs. 2,00,000/- (i) Notarized copy of the Probated Will OR (ii) Legal Heir / Succession/Claimant certificate by a competent court OR (iii)Letter of Administration, in case of Inestate Succession.			✓		
3A	Indemnity Bond signed by all the co-parceners appointing the new Karta (Annexure)				✓	
3B	Notarized copy of settlement Deed OR Deed of Partition OR Decree of the relevant component Court : (In case of no surviving co-parceners and the transmission value is more than Rs. 2,00,000/- OR where there is an objection from any surviving members of the HUF)				✓	

Annexures can be downloaded from the contents given under each of the applicable circumstances.

*In case the claimant produces any one of the documents mentioned at point no.2 above (under Additional Legal Documents), where transmission value is Rs. 2 Lacks or more, then indemnity bond as mentioned at point no. 1A would not be required.